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Analysis of oscillator neural networks for sparsely coded phase patterns

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Abstract. We study a simple extended model of oscillator neural networks capable of storing sparsely coded phase patterns, in which information is encoded both in the mean activity level and in the timing of spikes. Applying the methods of statistical neurodynamics to our model, we investigate theoretically the model's associative memory capability by evaluating its maximum storage capacities and deriving its basins of attraction. It is shown that, as in the Hopfield model, the storage capacity diverges as the activity level decreases. We consider various practically and theoretically important cases. For example, it is revealed that a dynamically adjusted threshold mechanism enhances the retrieval ability of the associative memory. It is also found that, under suitable conditions, the network can recall patterns even in the case that patterns with different activity levels are stored at the same time. In addition, we examine the robustness with respect to damage of the synaptic connections. The validity of these theoretical results is confirmed by reasonable agreement with numerical simulations.

1. Introduction

One of the important but unsolved problems in neuroscience is to determine how information is coded in neuronal activities. Most of the traditional neural network models consisting of binary units are constructed on the assumption that information is coded only in the mean activity level of the neurons. Although these models have provided us with theoretically interesting information, they ignore many dynamical aspects of neuronal activities. In fact, oscillatory activity appears to be ubiquitous in many neuronal systems. For example, some recent biological experiments have revealed that spatially synchronous oscillations of neuronal ensembles are dependent on the global properties of the external stimulus. It has been suggested that such synchronization is computationally significant in information processing [1-5]. The hippocampus is also one of the areas in which neuronal synchronization is observed and is believed to play an important role in memory processing [5, 6].

With these new findings, many models concerning the dynamical aspects of neurons and memory processing have been proposed [7–9]. Among these, models consisting of networks of oscillator components are particularly attractive, owing to their mathematical tractability. Like the Hopfield model [10], the simplicity of these models allows us to obtain useful analytic results. In fact, it has been reported that under suitable conditions, the oscillator network models

model associative memory, and we can evaluate theoretically their maximum storage capacities and basins of attraction [11-17].

However, such phase oscillator models are based on the unrealistic situation that all the components in the network are always in the firing state. In fact, real neurons can be in either the firing or non-firing state. In addition, it is known that the level of activity in our brain is very low (i.e. at any given time only a small percentage of neurons are in the firing state). This situation is termed *sparse coding*. From the results of theoretical studies of associative memory with binary units, it has been found that the storage capacity diverges as $-1/a \ln a$ as the activity level *a* becomes small [18–23].

It thus seems that to faithfully capture the essential dynamics of real oscillatory neuronal systems, it is necessary to extend the oscillator model to treat the non-firing state as well as the firing state. We have studied a simple extended oscillator neural network model of this nature [24]. In this paper, we review our model and study more complicated situations.

In the next section, we first review the theoretical basis of the phase oscillator model and propose an extended version of the oscillator model to treat non-firing states. In the analysis of this model, we estimate the maximum storage capacity, derive the basin of attraction, and evaluate the quality of recalled memories. Then, we find that when we define the threshold as a dynamical variable in a certain manner, the size of the basin of attraction can be increased. Embedding patterns with different activity levels and the influence of synaptic dilution are also studied.

2. Theories of oscillator neural networks

2.1. Phase oscillator model

Let us first start with a survey of the theoretical basis of the phase oscillator model. We assume that neurons fire periodically and interact weakly with each other. In general, although such a neural system can be described in terms of many internal dynamical variables, it is well known that it can be reduced to a system of simple coupled phase oscillators [25, 26]. In this form, we can characterize the state of the *i*th unit by a single variable, $\phi_i(t)$, which is referred to as the *phase*. This variable represents the timing of the neuronal spikes at time *t*. A typical reduced equation takes the form

$$\frac{d\phi_i(t)}{dt} = \omega_i(t) + \sum_{j=1}^N J_{ij} \sin(\phi_j(t) - \phi_i(t) + \beta_{ij})$$
(1)

where J_{ij} and β_{ij} characterize the interaction between the *i*th and *j*th units. Assuming that all natural frequencies $\omega_i(t)$ are equal to some fixed value ω_0 , we can eliminate the $\omega_i(t)$ term in equation (1) by redefining $\phi_i(t)$ through $\phi_i(t) \rightarrow \phi_i(t) + \omega_0 t$. When we represent the state of the *i*th unit by the complex form $W_i(t) = \exp(i\phi_i(t))$, equation (1) can be written in the alternative form

$$\frac{\mathrm{d}W_i(t)}{\mathrm{d}t} = \frac{1}{2}(h_i(t) - \tilde{h_i}(t)W_i^2(t)) \qquad h_i(t) = \sum_{i=1}^N C_{ij}W_j(t) \tag{2}$$

where the complex variable $C_{ij} = J_{ij} \exp(i\beta_{ij})$ represents the effect of the interaction between the *i*th and *j*th units and $\tilde{h}_i(t)$ is the complex conjugate of $h_i(t)$. (We assume $C_{ii} = 0$ throughout this paper.) Considering the fact that all units relax toward the equilibrium state

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satisfying the relation $W_i = h_i/|h_i|$, we can simplify the above to the discrete time system

$$W_i(t+1) = \frac{h_i(t)}{|h_i(t)|} \qquad h_i(t) = \sum_{j=1}^N C_{ij} W_j(t)$$
(3)

where a synchronous updating rule is assumed. We should note that if we use *asynchronous* updating, the equilibrium states of equation (3) are equivalent to those of the original phase model. This can be easily shown by considering the noiseless limit in a statistical mechanics treatment. In this sense, this model can be thought of as a synchronous update version of the phase oscillator neural network with discrete time [14].

2.2. Extended model

The weakness of the model described by equation (3) is that it can only be used to treat the firing state. We wish to extend this model so that it has the ability of retrieving sparsely coded phase patterns. In equation (3), $h_i(t)$ can be regarded as the local field produced by all other units. This field determines the state of the *i*th unit at the next time step. In a real neuron, when the membrane voltage is less than some threshold, the neuron generates no neuronal spikes. Therefore, it is reasonable to extend the above model so that the generation of spikes depends on the strength of the local field. Based on this consideration, for oscillator neural networks we propose the following generalized synchronous model [24–26]:

$$W_i(t+1) = f(|h_i(t)|) \frac{h_i(t)}{|h_i(t)|} \qquad h_i(t) = \sum_{j=1}^N C_{ij} W_j(t).$$
(4)

In this paper, we assume that $f(x) = \Theta(x - H)$, where $\Theta(x)$ is a step function defined by

$$\Theta(x) = \begin{cases} 1 & \text{for } x \ge 0 \\ 0 & \text{for } x < 0 \end{cases}$$
(5)

and H is a threshold parameter controlling the activity of the network.

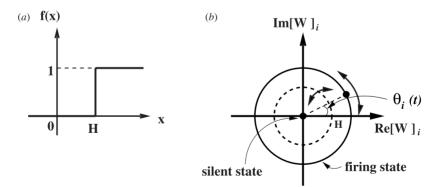


Figure 1. (*a*) The step function $f(x) = \Theta(x - H)$, where *H* is the 'threshold'. When $|h_i(t)| \ge H$, $|W_i(t + 1)| = 1$, and otherwise, $|W_i(t + 1)| = 0$. (*b*) Depiction of the dynamical change of the state of the unit. The circle and the origin correspond to the firing state and the non-firing state, respectively. The phase and amplitude of $W_i(t)$ change according to equation (4).

Figure 1(*a*) displays the function $\Theta(x - H)$, and (*b*) illustrates the dynamical change at updating. Since the amplitude $|W_i(t+1)|$ depends on the threshold *H*, it has a strong influence on the activity of the system.

Now, we define a set of complex patterns denoted by $\xi_i^{\mu} = A_i^{\mu} \exp(i\theta_i^{\mu})$ ($\mu = 1, ..., P$; i = 1, ..., N), where *P* is the total number of patterns and *N* is the total number of units. The variables θ_i^{μ} and A_i^{μ} represent the phase and the amplitude of the *i*th unit in the μ th pattern, respectively. For theoretical simplicity, we choose A_i^{μ} independently with the probability distribution

$$A_i^{\mu} = \begin{cases} 1 & \text{for firing state with probability } a \\ 0 & \text{for silent state with probability } 1 - a. \end{cases}$$
(6)

For the firing state, θ_i^{μ} is chosen at random from a uniform distribution between 0 and 2π . Note that all the patterns have the same mean activity level, *a*. As the learning rule, we adopt a generalized Hebb rule [27], taking the form

$$C_{ij} = \begin{cases} \frac{1}{aN} \sum_{\nu=1}^{P} \xi_i^{\nu} \tilde{\xi}_j^{\nu} & \text{for } i \neq j \\ 0 & \text{for } i = j. \end{cases}$$
(7)

Note that the matrix of the synaptic efficacies C_{ij} is self-adjoint. This rule is based on the hypothesis that when the *i*th and *j*th neurons are firing simultaneously, the connection between them is enhanced, and otherwise no modification occurs [27]. We also note that this is slightly different from the covariance rule adopted in the context of the learning of sparsely coded patterns. Owing to the rotational symmetry of the phase distribution in the patterns, C_{ij} can be defined in terms of the patterns ξ_i^{ν} themselves, rather than the difference between the patterns and the average activity.

We would like here to make some comments about this extended model. As mentioned above, it is well known that the dynamics of weakly coupled oscillatory neurons can be reduced to those of phase oscillators through a systematic theoretical technique. At the same time, this formalism can also be applied to a network of neuronal groups exhibiting periodic firing collectively, instead of a single neuron [26]. In this case, the phases of the oscillators can be regarded as the timings of the oscillatory behaviour which such neuronal groups exhibit. Therefore, the phase is closely related to the timings of the neuronal spikes. In this paper, we have attempted to extend the previous model by introducing an amplitude variable phenomenologically in order to describe the non-firing state. By doing this, the relation between the model and real systems may become less apparent, but the dynamics of the phase variables are still able to model those of real systems. Also, we believe that using a certain reduction technique, the relation between our model and real systems can be elucidated under suitable conditions. For example, we could consider a network consisting of neuronal systems exhibiting both oscillatory behaviour and a non-firing state, and thus having both a limit cycle solution and a resting fixed point.

2.3. Theoretical analysis

To analyse the recalling process theoretically, we must introduce several macroscopic order parameters. The load parameter α is defined by $\alpha = P/N$. The overlap $M^{\mu}(t)$ between $W_i(t)$ and ξ_i^{μ} at time t is defined by

$$M^{\mu}(t) = m^{\mu}(t) \exp(i\varphi^{\mu}(t)) = \frac{1}{aN} \sum_{j=1}^{N} \tilde{\xi}_{j}^{\mu} W_{j}(t).$$
(8)

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In practice, owing to rotational symmetry, the similarity between the state of the system $W_i(t)$ and the μ th pattern ξ_i^{μ} can be measured by $m^{\mu}(t)$, the amplitude of $M^{\mu}(t)$. Now, let us consider the situation in which the system is retrieving the pattern ξ_i^1 , that is,

$$m(t) \equiv m^{1}(t) \sim \mathcal{O}(1) \qquad m^{\mu}(t) \sim \mathcal{O}\left(\frac{1}{\sqrt{N}}\right) \quad (\mu \neq 1).$$
(9)

The local field $h_i(t)$ can be separated as

$$h_i(t) = M^1(t)\xi_i^1 + z_i(t) = |\xi_i^1|m(t) e^{i(\varphi^1(t) + \theta_i^1)} + z_i(t)$$
(10)

where $z_i(t)$ is defined by

$$z_i(t) = \frac{1}{aN} \sum_{j,\nu \neq 1} \xi_i^{\nu} \tilde{\xi}_j^{\tilde{\nu}} W_j(t).$$
(11)

The first term in equation (10) is the signal driving the system to recall the pattern, and the second term can be regarded as the noise arising from the other learned patterns. It is the essence of our analysis that we treat the second noise term as a complex Gaussian noise characterized by

$$\langle z_i(t) \rangle_i = 0 \qquad \langle |z_i(t)|^2 \rangle_i = 2\sigma^2(t).$$
⁽¹²⁾

It has been confirmed with numerical simulations that the assumption is valid as long as the network succeeds in retrieval [28]. Under the above assumptions, we study the properties of this network by applying the methods of statistical neurodynamics [29–32]. To begin with, we calculate the overlap at time t + 1. From equations (8), (4) and (10), $M^{1}(t + 1)$ is given by

$$\begin{split} M^{1}(t+1) &= m(t+1)\exp(\mathrm{i}\varphi^{1}(t+1)) = \frac{1}{aN}\sum_{j=1}^{N}\tilde{\xi}_{j}^{1}f(|h_{j}(t)|)\frac{h_{j}(t)}{|h_{j}(t)|} \\ &= \frac{1}{aN}\sum_{j}\tilde{\xi}_{j}^{1}f(|\xi_{j}^{1}M^{1}(t) + z_{j}(t)|)\frac{\xi_{j}^{1}M^{1}(t) + z_{j}(t)}{|\xi_{j}^{1}M^{1}(t) + z_{j}(t)|}. \end{split}$$

Now, we assume that the phase of $M^1(t + 1)$ is almost constant, that is, $\varphi^1(t + 1) \approx \varphi_0$. The validity of this assumption is supported by the results of preliminary numerical simulations. Owing to the rotational symmetry of the complex Gaussian noise, we can replace $z_j(t)$ with $z_j(t) \exp[i(\varphi_0 + \theta_j^1)]$. After some calculations, in the limit $N \to \infty$, we obtain

where $\langle \langle \rangle \rangle_{z(t)}$ represents the average over the complex Gaussian noise z(t) defined by equation (12). To calculate equation (13) numerically, we need the value of $\sigma(t)$, which is the variance of z(t). Thus, in the next step, we consider the relation between $z_i(t + 1)$ and $z_i(t)$, from which we can obtain the relation between $\sigma(t + 1)$ and $\sigma(t)$. From equation (11),

the noise at time t + 1 can be written as

$$z_{i}(t+1) = \frac{1}{aN} \sum_{j,\nu\neq 1} \xi_{i}^{\nu} \tilde{\xi}_{j}^{\nu} W_{j}(t+1)$$

$$= \frac{1}{aN} \sum_{j,\nu\neq 1} \xi_{i}^{\nu} \tilde{\xi}_{j}^{\nu} f(|h_{j}(t)|) \frac{h_{j}(t)}{|h_{j}(t)|}$$

$$= \frac{1}{aN} \sum_{j,\nu\neq 1} \xi_{i}^{\nu} \tilde{\xi}_{j}^{\nu} f(|h_{j}^{-\nu}(t) + h_{j}^{\nu}(t)|) \frac{h_{j}^{-\nu}(t) + h_{j}^{\nu}(t)}{|h_{j}^{-\nu}(t) + h_{j}^{\nu}(t)|}$$
(14)

where $h_j^{-\nu}(t)$ and $h_j^{\nu}(t)$ are defined by

$$h_{j}^{-\nu}(t) = \frac{1}{aN} \sum_{k,\mu\neq\nu} \xi_{j}^{\mu} \tilde{\xi}_{k}^{\bar{\mu}} W_{k}(t) \qquad h_{j}^{\nu}(t) = \frac{1}{aN} \sum_{k} \xi_{j}^{\nu} \tilde{\xi}_{k}^{\bar{\nu}} W_{k}(t).$$
(15)

Note that these functions satisfy the relation $h_j(t) = h_j^{-\nu}(t) + h_j^{\nu}(t)$. We can carry out the summation in equation (14) under the assumption that $h_j^{-\nu}(t)$ is independent of ξ_i^{ν} . Doing so, we obtain

$$z_i(t+1) \simeq K(m(t), \sigma(t)) + z_i(t)G(m(t), \sigma(t))$$
(16)

$$K(m(t), \sigma(t)) = \frac{1}{aN} \sum_{j,\nu \neq 1} \xi_i^{\nu} \tilde{\xi_j^{\nu}} f(|h_j(t)|) \frac{h_j(t)}{|h_j(t)|}$$
(17)

$$G(m(t), \sigma(t)) = \left\| \left\{ a \left(\frac{f'(|m(t) + z(t)|)}{2} + \frac{f(|m(t) + z(t)|)}{2|m(t) + z(t)|} \right) + (1 - a) \left(\frac{f'(|z(t)|)}{2} + \frac{f(|z(t)|)}{2|z(t)|} \right) \right\|_{z(t)}$$
(18)

where f' denotes the derivative of f.

Note that equations (16)–(18) are needed to calculate σ in both the equilibrium and the non-equilibrium cases we will describe in the next two sections.

2.4. Equilibrium state

In this section, we consider the equilibrium state of our model, in which $z_i(t)$ is constant. Applying $z_i(t + 1) = z_i(t) = z_i$ to equation (16), we obtain

$$z_i = \frac{K}{1 - G}.\tag{19}$$

Using this equation, we immediately obtain

$$2\sigma^{2} = \langle |z_{i}|^{2} \rangle_{i} = \frac{|K|^{2}}{(1-G)^{2}}$$
(20)

where

$$|K|^{2} = \alpha \langle\!\langle af(|m+z|) + (1-a)f(|z|) \rangle\!\rangle_{z}$$
(21)

$$\equiv \alpha Q. \tag{22}$$

Consequently, we find that the equilibrium state satisfies the equations

$$m = \left\langle \!\! \left\langle f(|m+z|) \frac{m+z}{|m+z|} \right\rangle \!\! \right\rangle_z \tag{23}$$

$$\sigma^2 = \frac{\alpha}{2(1-G)^2}Q\tag{24}$$

$$G = \left\| \left(a \left(\frac{f'(|m+z|)}{2} + \frac{f(|m+z|)}{2|m+z|} \right) + (1-a) \left(\frac{f'(|z|)}{2} + \frac{f(|z|)}{2|z|} \right) \right\|_{z}$$
(25)

$$Q = \langle\!\langle af(|m+z|) + (1-a)f(|z|) \rangle\!\rangle_z.$$
(26)

Solving the above equilibrium equations, we can find two types of solutions. If the load parameter α is smaller than a certain value α_c , there exists a solution for which the overlap m between the system and the pattern is not zero. As $m \neq 0$ implies that the system has a correlation with the retrieved pattern, this solution corresponds to the retrieval state. Then, if α is larger than α_c , there is only one solution, and for this solution m = 0. This solution therefore corresponds to the non-retrieval state.

The critical load parameter α_c is called 'the maximum storage capacity'. We now examine our theoretical results by comparing them with results from numerical simulations. In figure 2(*a*), we show the dependence of α_c on several parameters, such as the threshold H = 0.3, 0.5, 0.8 and the activity level *a*. In (*a*), We can see that as the activity level *a* decreases, the storage capacity α_c increases for each *H*. In particular, in the limit $a \rightarrow 0$, we find numerically that the storage capacity diverges in proportion to $-1/a \ln a$, as in the case of the Hopfield model. The maximum storage capacity as a function of *a* and *H* is illustrated in (*b*). It is shown there that for any *H*, the storage capacity diverges as *a* decreases to zero.

2.5. Non-equilibrium state

In this section, we derive dynamical equations to study the retrieving process. To obtain a recursion equation for σ , we start with equation (16). Squaring equation (16), we obtain

$$2\sigma^{2}(t+1) = \alpha Q(m(t), \sigma(t)) + 2\sigma^{2}(t)G^{2}(m(t), \sigma(t))$$

$$+2\operatorname{Re}\langle\!\langle K(m(t),\sigma(t))\tilde{z}_{i}(t)G(m(t),\sigma(t))\rangle\!\rangle_{z(t)}$$
(27)

where $K(m(t), \sigma(t))$ and $G(m(t), \sigma(t))$ are given by equations (17) and (18), and

$$Q(m(t), \sigma(t)) = \langle\!\langle af(|m(t) + z(t)|) + (1 - a)f(|z(t)|) \rangle\!\rangle_{z(t)}.$$
(28)

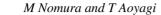
To proceed with the calculation, we must estimate the time correlation of the noise. For the first-order approximation, we ignore the temporal correlation of $z_i(t)$. We then obtain

$$\sigma^{2}(t+1) = \frac{1}{2}\alpha Q(m(t), \sigma(t)) + \sigma^{2}(t) G^{2}(m(t), \sigma(t)) + \alpha a^{2}m(t+1)m(t) G(m(t), \sigma(t)).$$
(29)

This corresponds to the Amari-Maginu theory in the case of traditional neural networks [29].

For the second-order approximation, we take into account the fact that $z_i(t)$ is correlated only with $z_i(t-1)$, while all correlations with $z_i(t')$ for t' < t - 1 are ignored. In this case, $\sigma(t+1)$ can be obtained from

$$\sigma^{2}(t+1) = \frac{1}{2}\alpha Q(m(t), \sigma(t)) + \sigma^{2}(t)G^{2}(m(t), \sigma(t)) + \alpha G(m(t), \sigma(t))X(t+1, t) + \alpha a^{2}m(t+1)m(t-1)G(m(t), \sigma(t))G(m(t-1), \sigma(t-1))$$
(30)



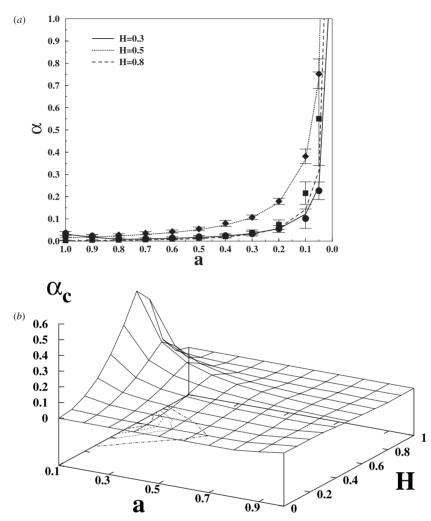


Figure 2. (*a*) Storage capacity α_c as a function of the mean activity level *a* for various thresholds *H*. The data points indicate numerical results with N = 1000 for 20 trials. The curves were obtained from theoretical analysis. (*b*) A three-dimensional isometric plot of the maximum storage capacity α_c as a function of *a* and *H* from the theoretical results.

where $Q(m(t), \sigma(t))$ is given by equation (28), $G(m(t), \sigma(t))$ is given by equation (18), and

$$X(t+1,t) = \operatorname{Re}\langle W_i(t+1)W_i(t)\rangle_i.$$
(31)

Here,

$$\rho(t, t-1) = \frac{\alpha X(t, t-1)}{2\sigma(t)\sigma(t-1)} + \frac{\sigma(t-1)}{\sigma(t)}G(m(t-1), \sigma(t-1))$$
(32)

is needed to evaluate equation (31). Note that $\rho(t, t-1)$ is the correlation coefficient between z(t) and z(t-1). For the second-order approximation, consequently, the retrieving process

of the network is described by the equations

$$m(t+1) = \frac{1}{aN} \sum_{j} |\xi_{j}^{1}| f(||\xi_{j}^{1}|m(t) + z_{j}(t)|) \frac{|\xi_{j}^{1}|m(t) + z_{j}(t)|}{||\xi_{j}^{1}|m(t) + z_{j}(t)|}$$
$$= \left\| \left(f(|m(t) + z(t)|) \frac{m(t) + z(t)}{|m(t) + z(t)|} \right) \right\|_{z(t)}$$
(33)

$$\sigma^{2}(t+1) = \frac{1}{2}\alpha Q(m(t), \sigma(t)) + \sigma^{2}(t)G^{2}(m(t), \sigma(t)) + \alpha G(m(t), \sigma(t))X(t+1, t)$$

$$+\alpha a^2 m(t+1)m(t-1)G(m(t),\sigma(t))G(m(t-1),\sigma(t-1))$$
(34)

$$X(t+1,t) = \operatorname{Re}\langle W_j(t+1)\tilde{W}_j(t)\rangle_j$$
(35)

$$\rho(t, t-1) = \frac{\alpha X(t, t-1)}{2\sigma(t)\sigma(t-1)} + \frac{\sigma(t-1)}{\sigma(t)} G(m(t-1), \sigma(t-1))$$
(36)

$$G(m(t), \sigma(t)) = \left\| \left\{ a \left(\frac{f'(|m(t) + z(t)|)}{2} + \frac{f(|m(t) + z(t)|)}{2|m(t) + z(t)|} \right) + (1 - a) \left(\frac{f'(|z(t)|)}{2} + \frac{f(|z(t)|)}{2|z(t)|} \right) \right\|_{z(t)}$$
(37)

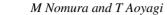
 $Q(m(t), \sigma(t)) = \langle\!\langle af(|m(t) + z(t)|) + (1 - a)f(|z(t)|) \rangle\!\rangle_{z(t)}.$ (38)

For initial conditions, we choose $\sigma^2(0) = a\alpha/2$, X(0, -1) = 0 and $X(1, 0) = a^2m(1)m(0)$. We choose many values of the initial overlap m(0) and carry out numerical calculations for each. In this way, we determine the lower bound, under which the network fails to retrieve the pattern.

For higher-order approximations, we could derive similar generalized equations describing the retrieval dynamical process. However, as shown in figure 3, the theoretical prediction at second order gives reasonable agreement with the numerical simulation in contrast to that at first order. We thus conclude that it is sufficient to use the second-order approximation for the theoretical analysis in this paper.

Figure 4 displays a phase diagram for $m(0)_c$ and $m(\infty)$ at various mean activity levels a and thresholds H. The full curves and the data points here correspond to the theoretical and the numerical results, respectively. It is seen that even in the region satisfying $\alpha < \alpha_c$, the system cannot retrieve the pattern if the initial overlap m(0) is smaller than $m(0)_c$. The boundary corresponding to $m(0)_c$ is represented by the lower curves in figure 4. Thus, for $m(0) \ge m(0)_c$, m(t) reaches the value of the upper curves $m(\infty)$, while for $m(0) < m(0)_c$, m(t) decreases to zero. We should note that the basins of attraction remain wide even near α_c . This can be thought of as an advantage of associative memory.

To predict the correct behaviour of the retrieval dynamics, it is necessary for the time correlation of the noise terms to be taken into account, and thus the second-order approximation discussed above is necessary. In the case we consider, this order is also sufficient, but interestingly, it has been reported that the fourth-order approximation is necessary when a = 1 and H = 0 [14]. The question arises as to why the second-order approximation is not sufficient in this case, while it is sufficient in the case we consider. The key point here is that the order of the last term in equation (34) is proportional to the square of the activity level a^2 . For a < 1, this factor a^2 weakens the influence of the time correlation on the recalling process. Consequently, for a sparse coded pattern with a < 1, even the second-order approximation results in reasonable agreement with the numerical results.



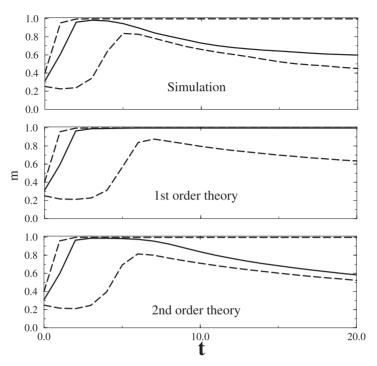


Figure 3. Time evolution of the overlap m(t) for various initial conditions: m = 0.25, 0.31, 0.4. Here $\alpha = 0.013, H = 0.3$ and a = 0.5. Results of the second-order approximation give better agreement with the numerical simulation than those of the first-order approximation.

2.6. Sequence generator

In this section, we consider the case in which the network retrieves a cyclic sequence of *P* patterns associatively, say $\xi^1 \rightarrow \xi^2 \rightarrow \cdots \rightarrow \xi^P \rightarrow \xi^1 \rightarrow \cdots$. In order to allow for such a process, we employ synaptic connections of the form

$$C_{ij} = \frac{1}{aN} \sum_{\nu=1}^{P} \xi_i^{\nu+1} \tilde{\xi_j^{\nu}}.$$
(39)

In a manner similar to that in the derivation of equations (29) and (34), we obtain the following equations:

$$\sigma^{2}(t+1) = \frac{1}{2}\alpha Q(m(t), \sigma(t)) + \sigma^{2}(t)G^{2}(m(t), \sigma(t))$$
(40)

$$m(t+1) = \left\| \left(f(|m(t) + z(t)|) \frac{m(t) + z(t)}{|m(t) + z(t)|} \right) \right\|_{z(t)}.$$
(41)

Note that, since the target pattern changes from time to time, for the definition of the overlap we adopt $m(t) \equiv m^{\mu}(t) = |\frac{1}{aN} \sum_{j=1}^{N} \tilde{\xi}_{j}^{\mu} W_{j}(t)|$, where μ is the number of the target pattern at time *t*. Here $G(m(t), \sigma(t))$ and $Q(m(t), \sigma(t))$ are the same as those defined by equations (37) and (38), respectively. We should note that in the limit $N \to \infty$, the last term in equations (29) and (34) vanishes in equation (40), because the effect of the time correlation can be ignored in the above derivation. Therefore, in a sequence generator, it is expected that our theoretical prediction is almost exact.

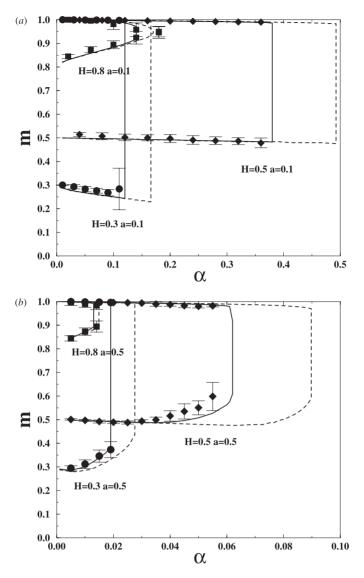


Figure 4. (*a*) Basins of attraction for various values of the threshold *H*, with a = 0.1. The data points are the results of the numerical simulation, the broken curves correspond to the first-order approximation, and the full curves correspond to the second-order approximation. The results of the numerical simulation were obtained with N = 1000 for 20 trials. (*b*) Same as (*a*) but with a = 0.5.

Figure 5(*a*) displays a phase diagram obtained from our theoretical analysis. All the lines of theoretical results agree with the numerical ones quite well, as expected. This agreement suggests that higher-order approximations will result in even better agreement and leads us to believe that our theoretical derivation is valid. In (*b*), it is also shown that, like auto-associative memory (figure 2(*b*)), the storage capacity diverges in the limit $a \rightarrow 0$. This is regarded as expressing the meaning that in the limit of sparse coding (i.e. as $a \rightarrow 0$), we can embed an infinite length of sequential patterns.



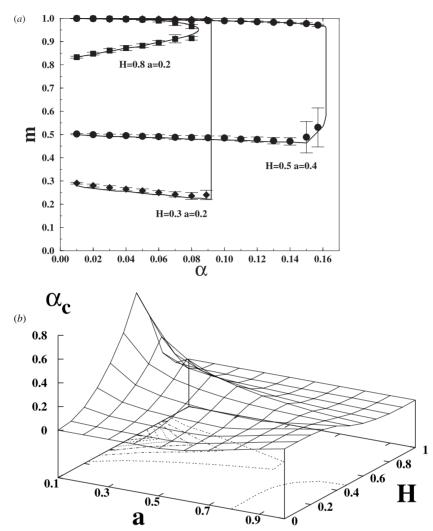


Figure 5. (*a*) Basins of attraction for various activity levels *a* and thresholds *H*. The data points are the results of the numerical simulations (N = 1000 for 20 trials), and the full curves are the theoretical results. (*b*) A three-dimensional isometric plot of the maximum storage capacity α_c as a function of *a* and *H* from the theoretical results.

2.7. Dynamically adjusted threshold

From figure 4, it appears that the basin of attraction for our model is smaller than those in the binary model and the phase oscillator. In this section, we attempt to increase the size of the basin of attraction by defining the threshold as a dynamical variable that is proportional to the standard deviation of the noise. Therefore, assuming the same condition as in section 2.2, we add the equation

$$H(t) = \sqrt{-2\ln a}\,\sigma(t) \tag{42}$$

where H(t) is the threshold at time t. This choice for the form of H(t) is made to ensure the relations $m \simeq 1$ and [activity level] $\simeq a$, which cause the Hamming distance between the state of the unit and the retrieved pattern to be small [33]. Comparing figure 6 with figure 4,

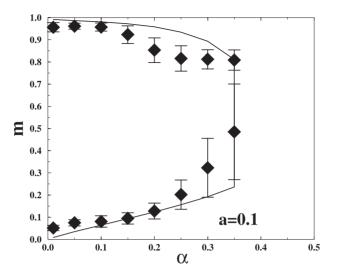


Figure 6. Basins of attraction in the case that H(t) is a dynamically adjusted variable with a = 0.1. Comparing with figure 4(a), we find that this mechanism enlarges the basin of attraction. The data points represent the results of a numerical simulation with N = 1000 for 20 trials, and the curve is the theoretical result.

it is seen that the basin of attraction can be enlarged by introducing the dynamically adjusted threshold into our model. Therefore, when we introduce the dynamically adjusted threshold, our model has an advantage similar to that of the binary model and the phase oscillator.

3. Patterns generated with different activity levels

Up to this point, we have assumed that the activity levels are equal for all patterns. However, it is likely that the actual activity level depends on the pattern which the network is retrieving presently, in other words, on the content of the required information processing. Unfortunately, using a traditional neural network, we encounter difficulties in storing patterns with different activity levels simultaneously. In this section, we demonstrate that, unlike traditional models, the proposed oscillator model can easily store multiple patterns with different activity levels using a simple Hebbian learning rule.

Let us consider a set of complex patterns defined by

$$\operatorname{Prob}\left[|\xi_{i}^{\mu}|=1\right] = \begin{cases} a_{1} & \text{for } 1 \leqslant \mu \leqslant P_{1} \\ a_{2} & \text{for } P_{1}+1 \leqslant \mu \leqslant P \end{cases}$$
(43)

where generally $a_1 \neq a_2$. Thus, the total number of the patterns with activity level a_1 is P_1 , while the total number of patterns with activity level a_2 is $P_2 = P - P_1$. Using the above patterns, grouped into two different activity levels, we examine whether the network can retrieve patterns having different activity levels. To retrieve such patterns, we use the following modified form of the learning rule equation (7):

$$C_{ij} = \frac{1}{a_1 N} \sum_{\nu=1}^{P_1} \xi_i^{\nu} \tilde{\xi}_j^{\nu} + \frac{1}{a_2 N} \sum_{\nu=P_1+1}^{P} \xi_i^{\nu} \tilde{\xi}_j^{\nu}.$$
(44)

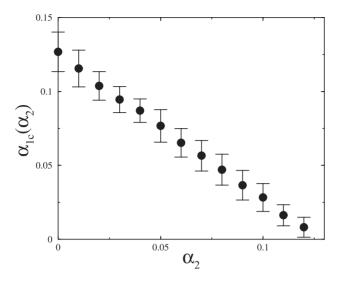


Figure 7. Storage capacity α_{1c} as a function of α_2 for $a_1 = 0.1$ and $a_2 = 0.2$. The circular data points represent α_{1c} as a function of α_2 . We can see that $\alpha_{1c} + \alpha_2$ is constant. It was obtained from a numerical simulation with N = 2000 for 20 trials.

With the method described in section 2, we can derive both equilibrium and dynamical equations. The results have the same forms as those in section 2. However, here the value a_1 should be used in place of the activity parameter a in the previous equations, because the retrieval pattern ξ_i^1 has an activity level a_1 . Let us define the load parameter as $\alpha_1 = P_1/N$ with respect to the retrieval pattern. (The usual load parameter is defined as $\alpha = P/N = (P_1 + P_2)/N$.) The theoretical analysis yields $\alpha_{1c} = \text{constant} - \alpha_2$, where α_{1c} is the maximum storage capacity for a_1 , and α_2 is the storage capacity for a_2 . Figure 7 displays α_{1c} as a function of $\alpha_2 = P_2/N$. We can see from figure 7 that $\alpha_{1c} = \alpha(a_1) - \alpha_2$, where the constant value $\alpha(a_1)$ is given by the equations in (23)–(26) for $a = a_1$. For the maximum storage capacity α_{2c} associated with the activity level a_2 , we have $\alpha_{2c} = \alpha(a_2) - \alpha_1$.

Let us consider the case $P_1 = P_2$. Note that in this case, $\alpha_1 = \alpha_2$ and the total storage capacity α has the relation $\alpha = 2\alpha_1 = 2\alpha_2$. We assume that $a_1 = 0.1$, $a_2 = 0.2$ and H = 0.3. Under these conditions, the basin of attraction obtained both from the theoretical analysis and the numerical simulations is displayed in the top panel of figure 8. We should note that in region (b), the patterns with activity a_1 can be recalled, while the patterns with activity a_2 cannot. Since we consider the case $P_1 = P_2$, the vertical lines correspond to half of the usual maximum storage capacities. In the bottom figures, we display typical behaviour of the overlap m(t) for the initial condition m(0) = 0.5. The load parameters $\alpha = 0.02, 0.05$ and 0.08 used here correspond to regions (a), (b) and (c) shown in the top figure, respectively. The evolutions corresponding to these values of a represent the retrieval processes of ξ_i^1 and $\xi_i^{P_1+1}$ associated with the activity levels a_1 and a_2 , respectively. Note that in region (b), the patterns with a = 0.2 act only as noise when the network is recalling the pattern with a = 0.1. As a whole, the above findings suggest that the network has a good ability to retrieve patterns with different activity levels. We should note that the patterns with activity a_1 can be stored more stably than those with activity a_2 when $a_1 < a_2$.

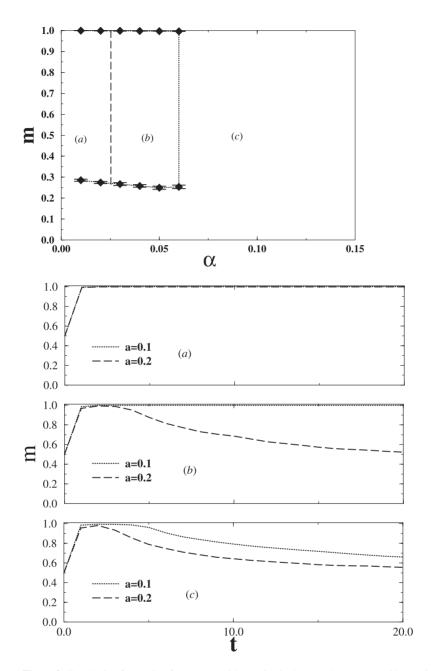


Figure 8. Top, basin of attraction for patterns with a = 0.1 in the case that patterns with a = 0.1 and a = 0.2 are embedded in equal number at the same time. Here H = 0.3. The dotted curve and the data points represent the theoretical and the numerical results, respectively. The vertical long-broken curve indicates the maximum storage capacity for patterns with a = 0.2. Thus, in the top figure (*a*) is the region where both activity level patterns are successfully retrieved, (*b*) is the region where only patterns with a = 0.1 can be retrieved, and (*c*) is the region where neither can be retrieved. Bottom, time evolutions of the overlap m(t) for the initial condition m(0) = 0.5, with H = 0.3 and $\alpha = 0.02$, 0.05 and 0.08, corresponding to the regions (*a*), (*b*) and (*c*) in the top figure, respectively. These are the results of the numerical simulation.

4. Dilution

In this section, we study the influence of random synaptic dilution on the model's associative memory capability. For the case of the phase oscillator model, that is, when a = 1 and H = 0, this effect has already been reported [15, 17]. Following the method used in that case to treat random synaptic dilution in our model, we assume that the synaptic efficacies for $j \neq i$ take the form

$$\overline{C}_{ij} = \frac{c_{ij}}{c} C_{ij} \tag{45}$$

where C_{ij} is the standard Hebbian matrix, as defined by equation (7), and c_{ij} are independent random variables, taking the values 1 and 0 with probabilities c and 1 - c, respectively. Note that the dilution parameter c represents the ratio of connected synapses. In the limit $N \to \infty$, equation (45) can be regarded as

$$\overline{C}_{ij} = C_{ij} + \eta_{ij} \tag{46}$$

where the synaptic noise η_{ij} is a complex Gaussian noise with mean 0 and variance η^2/N [34]. The relationship between the dilution parameter *c* and the variance η can be calculated as

$$\eta^2 = \frac{1-c}{c}\alpha. \tag{47}$$

Under the same assumption as that used in obtaining equation (9), we can separate the local field into the signal and two noise parts as follows:

$$h_{i}(t) = \sum_{j}^{N} \overline{C}_{ij} W_{j}(t) = \sum_{j}^{N} (C_{ij} + \eta_{ij}) W_{j}(t)$$

$$= \sum_{j}^{N} \left(\frac{1}{aN} \sum_{\mu=1}^{P} \xi_{i}^{\mu} \tilde{\xi}_{j}^{\mu} + \eta_{ij} \right) W_{j}(t)$$

$$= M^{1}(t) \xi_{i}^{1} + z_{i}(t)$$
(48)

$$= M^{1}(t)\xi_{i}^{1} + z_{i}^{c}(t) + z_{i}^{s}(t)$$
(49)

where $z_i^c(t)$ and $z_i^s(t)$ are defined as

$$z_{i}^{c}(t) = \frac{1}{aN} \sum_{j}^{N} \sum_{\mu \neq 1}^{P} \xi_{i}^{\mu} \tilde{\xi}_{j}^{\mu} W_{j}(t)$$
(50)

$$z_{i}^{s}(t) = \sum_{j}^{N} \eta_{ij} W_{j}(t).$$
(51)

The new noise term $z_i^s(t)$ is caused by synaptic dilution, while the term $z_i^c(t)$ is like the noise defined by equation (11), representing the crosstalk noise arising from the other embedded patterns. In analogy with our treatment in section 2, we assume that $z_i^c(t)$ and $z_i^s(t)$ are independent complex Gaussian noises with mean 0 and variance $\sigma_c^2(t)$ and $\sigma_s^2(t)$, respectively. Therefore, $z_i(t)$ can be regarded as a complex Gaussian noise with mean 0 and variance $2\sigma^2(t) = \sigma_c^2(t) + \sigma_s^2(t)$. Thus, we can derive dynamical equations in the same way as in section 2. The form of the macroscopic order parameter m(t + 1) is the same as that given by equation (13).

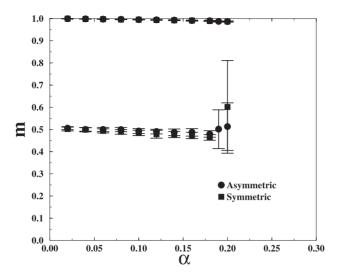


Figure 9. Comparison of basins for symmetrical and asymmetrical dilution. Both were obtained from numerical simulations with N = 2000 for 20 trials.

First, applying the theory of statistical neurodynamics to $z_i^s(t)$, we calculate $\sigma_s^2(t)$. However, to apply the theory of statistical neurodynamics, it is necessary to take into account the second term in

$$z_i^s(t+1) = \sum_j^N \eta_{ij} f(|h_j^0|) \frac{h_j^0}{|h_j^0|} + W_i(t) \sum_j^N \eta_{ij} \eta_{ji} \left(\frac{f'(|h_j^0|)}{2} + \frac{f(|h_j^0|)}{2|h_j^0|}\right)$$
(52)

where $h_j^0 = h_j(t) - \eta_{ji} W_i(t)$. As reported in [15], for the asymmetrical case, $\eta_{ij} \neq \eta_{ji}$, the second term here can be ignored. Then, as seen from figure 9, there is little difference between the symmetric and asymmetric cases in a sparse coding system. Thus we conjecture that this term can be ignored altogether. Doing so, in the $N \rightarrow \infty$ limit, we obtain

$$\sigma_s^2(t) = \eta^2 Q(m(t), \sigma(t)) = \frac{1-c}{c} \alpha Q(m(t), \sigma(t))$$
(53)

where $Q(m(t), \sigma(t))$ is defined by equation (38).

For the crosstalk noise $z_i^c(t)$, in analogy to equation (16), we obtain

$$z_i^c(t+1) = K(m(t), \sigma(t)) + z_i^c(t)G(m(t), \sigma(t))$$
(54)

where $K(m(t), \sigma(t))$ and $G(m(t), \sigma(t))$ are defined by equations (17) and (18), respectively.

4.1. Equilibrium state

In the equilibrium state, putting $z_i^c(t) = z_i^c(t+1)$ into equation (54), we obtain

$$z_i^c = \frac{K}{1 - G} \tag{55}$$

$$\sigma_c^2 = \frac{\alpha Q}{(1-G)^2}.$$
(56)

Therefore, σ^2 , the variance of z(t), takes the form

$$\sigma^{2} = \frac{1}{2}\sigma_{c}^{2} + \frac{1}{2}\sigma_{s}^{2} = \left(\frac{1}{2(1-G)^{2}} + \frac{1-c}{2c}\right)\alpha Q.$$
(57)

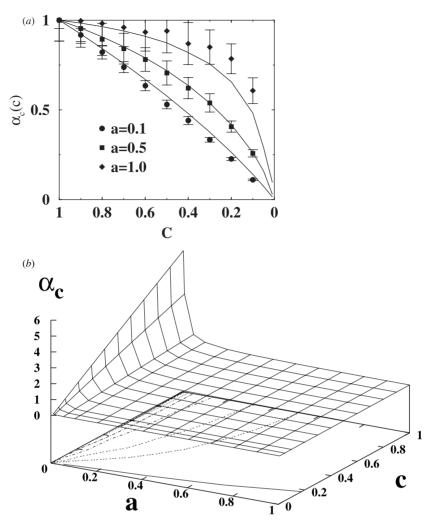


Figure 10. (*a*) Dependence of the maximum storage capacity on the ratio of connectivity *c* for various activity levels with H = 0.5. Here we adopted the normalized maximum storage capacity by $\alpha_c(1)$. The full curves represent the theoretical results, and the data points represent the numerical results with N = 2000 for 20 trials. (*b*) A three-dimensional isometric plot of the maximum storage capacity α_c as a function of *a* and *c* for H = 0.5. This was obtained from the theoretical results.

Consequently, the properties of the network in the equilibrium state can be calculated from equations (23), (57), (25) and (26). In figure 10, we summarize the theoretical results concerning the dependence on the ratio of connected synapses c. In figure 10(a), it is found that the maximum storage capacity is an increasing function of the connectivity c. In particular, we can see that, as the activity level a becomes small, the storage capacity decreases almost linearly with the connectivity c. A similar linear dependence is observed in the case of the diluted Hopfield model. On the other hand, in (b), it is found that even if the ratio of connectivity is small, the maximum storage capacity tends to diverge in the limit $a \rightarrow 0$. However, it seems that the rate of this divergence decreases as c decreases.

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4.2. Non-equilibrium state

In order to estimate the robustness with respect to synaptic damage, we should also consider the influence of synaptic dilution on the retrieval process, particularly, on the basin of attraction. For this purpose, we can apply the same method as used in the derivation of equation (34). After some calculations, the resulting recursion equations at second order are given by

$$\sigma^{2}(t+1) = \frac{1}{2}\alpha Q(m(t), \sigma(t)) + \sigma^{2}(t)G^{2}(m(t), \sigma(t)) + \alpha G(m(t), \sigma(t))X(t+1, t) + \alpha a^{2}m(t+1)m(t-1)G(m(t), \sigma(t))G(m(t-1), \sigma(t-1)) + \frac{1}{2}(1 - G^{2}(m(t), \sigma(t)))\eta^{2}Q(m(t), \sigma(t))$$
(58)

$$X(t+1,t) = \operatorname{Re}\langle W_{i}(t+1)\tilde{W}_{i}(t)\rangle_{i}$$
(59)

$$\rho(t, t-1) = \frac{\alpha X(t, t-1)}{2\sigma(t)\sigma(t-1)} + \frac{\sigma(t-1)}{\sigma(t)} G(m(t-1), \sigma(t-1)) + \frac{X(t, t-1) - G(m(t-1), \sigma(t-1))Q(m(t-1), \sigma(t-1))}{2\sigma(t)\sigma(t-1)} \eta^2$$
(60)

where η is related to the connectivity *c* via equation (47). As mentioned in section 2, equation (60) is used to calculate equation (59). For initial conditions, we choose $\sigma^2(0) = a\alpha/2c$, X(0, -1) = 0 and $X(1, 0) = a^2m(1)m(0)$. In the case of a = 0.1 and H = 0.5, figure 11 illustrates the theoretical results concerning the basins of attraction for various values of the connectivity *c*. We can see that near saturation $\alpha \sim \alpha_c$, the basin of attraction remains large even for low connectivity. Therefore, we find that synaptic dilution has little influence on the width of the basin of attraction, even though the storage capacity decreases with the connectivity.

5. Conclusion

In this paper, we have presented a simple extended model of oscillator neural networks to allow for the description of the non-firing state. We have studied the model's associative memory capability for sparsely coded phase patterns, in which some units are in the non-firing state and the other units encode information in the phase variable representing the timing of neuronal spikes. In particular, applying the theory of statistical neurodynamics, we have evaluated the maximum storage capacity and derived the basin of attraction. We have found the following properties of our model in its basic form.

- In the case of auto-associative memory and sequence generators, the storage capacity diverges as the activity level decreases to zero. It was found numerically that the storage capacity diverges proportionally to $-1/a \ln a$ in the limit $a \rightarrow 0$.
- Even just below the maximum storage capacity, the basin of attraction remains large.

We then investigated the model with regard to the size of the basin of attraction. We found that with the model in its basic form, the basin of attraction is smaller than those of the binary model and the phase oscillator. For associative memory, it is desirable that the basin of attraction be large. For this reason, we considered employing a dynamically adjusted threshold, and we found the following:

• the basin of attraction can be enlarged by using the dynamically adjusted threshold.

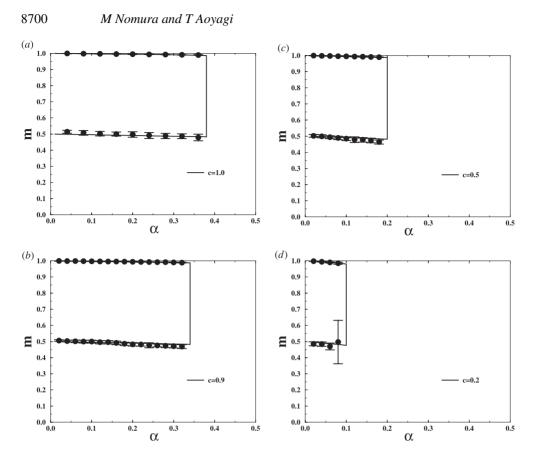


Figure 11. Basins of attraction for various values of the connectivity c. The full curves represent the theoretical results, and the data points represent the numerical results with N = 2000 for 20 trials.

In view of biology, the neurons may die of old age or be injured by accident. Thus, the robustness with respect to synaptic damage is important for real neuronal systems. For this reason, we also investigated our model with regard to robustness, and we found the following:

• it was found that the system is robust with respect to synaptic damage: even in the case of a high cutting rate, the basin of attraction remains large, and the maximum of the storage capacity diverges in the $a \rightarrow 0$ limit. For low activity patterns, the maximum storage capacity decreases almost linearly with the ratio of connected synapses.

The above properties are common with the Hopfield model. In addition, we have found that our model possesses a novel feature not seen in the Hopfield model. In realistic situations, the activity level of the firing pattern may generally depend on the content of the information processing. Using traditional neural network models based only on activity rate coding, however, we encounter difficulties when the network simultaneously stores such patterns with different activity levels. In contrast, with our model, we found the following:

• unlike the Hopfield model, provided that the phase distribution in the embedded patterns is uniform, it was shown that patterns with different activity levels can be memorized simultaneously.

In conclusion, from the above findings it is seen that the oscillator neural network exhibits good performance in the case of sparse coding. In this situation, the phases of the oscillators

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can be regarded as the timings of oscillations in the original system, which are closely related to the timings of the neuronal spikes. This implies that in our model information is encoded by the relative timing of oscillations, which corresponds to spikes in the original system. This is one type of temporal coding. In this sense, we believe that these results support the plausibility of temporal coding, and we hope that they encourage attempts at more detailed explorations of the nature of temporal coding.

In the description provided by our model, a firing state and a non-firing state correspond to the existence of a limit cycle solution and a steady solution, respectively, even if a very low frequency is considered. However, to obtain interesting results, we have assumed that the native frequencies of all neurons are the same. This may be biologically unrealistic. In addition, we have not considered the probabilistic nature of the synapses and neurons, which may play an important role in the information processing. A more realistic treatment in which these points are considered more carefully is needed. As another future work, we wish to consider one of the applications of the oscillator model. In this paper we considered coherent oscillations of only a uniform distribution. It is more interesting, however, to consider systems that are not so strongly constrained. For example, neurons could be organized into ensembles characterized by different phase values. In such a situation, each ensemble would carry out a different function independently, and the neural system as a whole could carry out several tasks simultaneously. Using this kind of synchrony, we may obtain a simple solution to the binding problem.

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